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FEB 19 2004

**OFFICIAL**

**FACSIMILE COVER SHEET**

FROM: **Camille Payne**  
**Re: U.S. Patent Appl. No: 10/393,789X**  
**Please send fax confirmation to 973-597-2400**

Date **February 16, 2004**

**973.597.5202**

**FAX: 973.597-2400**

**E-MAIL: [cpayne@lowenstein.com](mailto:cpayne@lowenstein.com)**

TO: **United States Patent & Trademark Office**  
**RE: Information Disclosure Statement**  
**PLEASE send confirmation fax to 973-597-2400**

**FACSIMILE NO.: 703-872-9306**

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**TOTAL PAGES (WITH COVER)**

**24**

**CLIENT ID/MATTER #: V8998-6**

**ITEMS:**

- 1. Transmittal of Information Disclosure Statement(2 sheets)**
- 2. Information Disclosure Citation (4 sheets)**

**If you have any trouble with this transmittal, please call 973.597.2500 ext. 2844**

-+

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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
 (Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
V8998-6

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In Re Application Of: Mies, et al.

FEB 9 2004

Serial No. 10/717,856	Filing Date 11/20/02	Examiner	Group Art Unit
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Title: Method and Apparatus for Forming Low Optical Loss Splices

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Address to:  
**Commissioner for Patents**  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

**OR**

the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
 (Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
 V8998-6

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Serial No.  
 10/717,856

Filing Date  
 11/20/02

Examiner

Group Art Unit

**Method and Apparatus for Forming Low Optical Loss Splices**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of \_\_\_\_\_ is attached.
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Alexander R. Pagano 44,994

Signature

Dated: 2/16/04

cc:

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  - the statement specified in 37 CFR 1.97(e);
  - OR
  - the fee set forth in 37 CFR 1.17(p).

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>			ATTY DOCKET NO. V8998-6		SERIAL NO. 10/717856		
			By: Mies, et al.				
			FILING 11/20/02	GROUP			
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
1		US 2002/0114554	8/22/02	MARONEY			
2		US 2002/0054740	5/9/02	VAKILI			
3		US 6,336,750	1/8/02	CLARK			
4		US 6,336,749	1/8/02	O'TOOLE			
5		US 6,321,006	11/20/01	WU			
6		US 6,294,760	9/25/01	INOUE			
7		US 2001/0022879	9/20/01	WU			
8		US 6,275,627	8/14/01	WU			
9		US 6,232,056	5/15/01	AYLWARD			
10		US 6,152,611	11/28/00	MARDIROSSIAN			
11		US 5,812,718	8/22/98	CARPENTER			
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES
							NO
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
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	FILING 11/20/02	GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
12	US 5,649,040	7/15/97	LJUNGQVIST			
13	US 5,226,995	7/13/93	WHITE			
14	US 5,222,171	6/22/93	STRAUS			
15	US 5,146,527	9/8/92	MALLINSON			
16	US 5,095,519	3/10/92	DORSEY			
17	US 5,085,494	2/4/92	WESSON			
18	US 5,074,633	12/24/91	COHEN			
19	US 5,018,824	5/28/91	OOE			
20	US 4,954,152	9/4/90	HSU			
21	US 4,604,119	8/5/86	KUHL			
22	US 6,317,552 B1	11/13/01	BERKEY			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*


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	<b>FILING</b> <b>11/20/02</b>	<b>GROUP</b>

## **U.S. PATENT DOCUMENTS**

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	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

**OTHER DOCUMENTS** (*Including Author, Title, Date, Pertinent Pages, Etc.*)

		3	<b>Electronic Letters, October 10, 1991, Vol. 27 No. 21</b>
		4	<b>Photonics Technology Letters, Vol. 4, No. 9, September 1992</b>

**EXAMINER** \_\_\_\_\_ **DATE CONSIDERED** \_\_\_\_\_

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